



University of London  
**COLLEGIATE COUNCIL**

Friday, 4 November 2011  
8.30am  
The Court Room, Senate House

## MINUTES

<b>PRESENT</b>	Professor Crossick (Vice-Chancellor and Chair) Professor Ashworth (ICR) Professor Freeman-Attwood (RAM) Professor Gaskell (QMUL) Professor Grant (UCL) Professor Henderson (CSSD) Professor Hoggart (KCL alternate) Professor Husbands (IoE) Professor Kain (SAS) Professor Kopelman (SGUL) Professor Latchman (Bbk)	Professor Layzell (RHUL) Mr Loughrey (GC) Professor Piot (LSHTM) Professor Rao (SOAS Alternate) Professor Rees (LSE) Professor Reid (RVC) Professor Smith (SoP) Professor Swallow (CIA) Dr Sweeney (HC) Professor Sir Richard Trainor (KCL)*
<b>APOLOGIES</b>	Professor Sir Andrew Likierman (LBS)	Professor Webley (SOAS)
<b>OBSERVER</b>	Professor Kydd (UoLIA)	
<b>IN ATTENDANCE</b>	Mr R Cryer Mr C Cobb	Mr M Dalton (Secretary) Ms R Sector

\* Professor Trainor left the meeting at 9am

*The formal business of the Collegiate Council was kept to a minimum in order to allow maximum time for members to contribute to the discussion on the Joint Review of the Post of Vice-Chancellor (CCMM 1001 – 1007)*

### **Joint Review of the Post of Vice-Chancellor**

*The Vice-Chancellor was not present for Minutes 1001 – 1007*

1001 The Chief Operating Officer and University Secretary informed members that, at its first meeting on 3 October 2008, the Collegiate Council had resolved that: 'in the absence of both the Vice-Chancellor and Deputy Vice-Chancellor, the Collegiate Council would be chaired by the most senior Head of College present – in this context 'senior' would be interpreted as the longest serving Head of College.' It was noted that in the absence of the Vice-Chancellor and Deputy Vice-Chancellor (Professor Webley), Professor Latchman was the longest serving Head of College and that he would chair the discussion.

1002 The Collegiate Council received a report by the Chief Operating Officer and University Secretary on discussions at the Joint Review of the Post of Vice-Chancellor (CC244). It was noted that, at its previous meeting, the Collegiate Council had agreed that, in line with the University Council resolution of March 2007, there should be a review of the post of Vice-Chancellor undertaken jointly by the Board of Trustees and Collegiate Council. Subsequent to the meeting, the Chief Operating Officer and University Secretary had consulted with the Collegiate Council regarding membership and terms of reference for the Joint Review and, following discussions at an informal meeting of the Board of Trustees on 3 October 2011 and at the Board meeting of 10 October 2011, the membership for the Joint Review had been agreed as follows:

- Dame Jenny Abramsky (Chair)
- Mr David Beever
- Mr Abdul Bhanji
- Mr Steven Fogel
- Mrs Suzanne McCarthy
- Professor Jonathan Freeman-Attwood (Royal Academy of Music)
- Professor Simon Gaskell (Queen Mary, University of London)
- Professor Malcolm Grant (UCL)
- Professor David Latchman (Birkbeck)
- Professor Sir Richard Trainor (King's College London)

1003 At its first meeting on 14 October 2011, the following terms of reference for the Joint Review had been agreed:

In line with the University Council resolution of 2007, that the Joint Review should make recommendations to the Board of Trustees and Collegiate Council on:

1. Whether the principal officer of the University should be designated the title Vice-Chancellor (Statute 7.1).
2. The role and duties of the principal officer (currently the Vice-Chancellor) as outlined in Ordinance 13.
3. Whether the post of the principal officer (Vice-Chancellor or alternative) should be on a part-time or full-time basis.
4. A job description, including any additional key roles and/or delegable duties for approval by the Board of Trustees.

1004 The evidence base to be considered by the Joint Review, as outlined in Document CC244, had also been agreed at the meeting on 14 October 2011. It was noted that the scope of the Joint Review's discussions would be restricted to the post of Vice-Chancellor and would not, given the limited time available, embrace the future role and direction of the University.

1005 At its meeting on 31 October 2011, the Joint Review had considered transcripts from the previous governance review (2006-08), led by the Constitutional Review Working Group (CRWG), as well as written submissions from the following: the current and former Vice-Chancellors

(Professor Crossick and Sir Graeme Davies); the Deans of the School of Advanced Study (SAS) and the University of London (UoL) International Programmes; the former Chair of the University Council (Lord Sutherland); and the executive search agent, Saxton Bampfylde. The Joint Review would meet again on 21 November 2011 to consider the views of the Collegiate Council and the Board of Trustees (the latter would also meet on 21 November 2011).

[CCM 1006 is considered exempt from disclosure in accordance with Part II of the Freedom of Information Act 2000]

### **AGREED**

1007 That details of the Collegiate Council's discussion would be forwarded to the Joint Review at its meeting on 21 November 2011.

*The Vice-Chancellor joined the meeting.*

### **Minutes**

1008 The Collegiate Council approved the Minutes of the previous meeting held on 30 September 2011.

### **CONFIDENTIAL REPORT - Finance Report**

1009 The Collegiate Council received a confidential Finance Report (CC245) by the Director of Finance and Estates, attached to which were the following appendices:

- (i) Draft Annual Report and Financial Statements 2010-11 (CC245A)
- (ii) Income and Expenditure Account for the year ended 31 July 2011 (CC245B)
- (iii) Income and Expenditure Account for the year ended 31 July 2011 for SAS (CC245C)
- (iv) Income and Expenditure Account for the year ended 31 July 2011 for SHLs (CC245D)
- (v) Monthly Finance Report September 2011 (Confidential CC245E)
- (vi) Income and Expenditure Summary by category to September 2011 (Confidential CC245F)
- (vii) Income and Expenditure Summary by division to September 2011 (Confidential CC245G)
- (viii) Balance Sheet as at 30 September 2011 (Confidential CC245H)
- (ix) Cash Flow Statement to September 2011 (Confidential CC245J)

1010 The Director of Finance and Estates drew members' attention to Appendix CC245B, Income and Expenditure Account for the year ended 31 July 2011. In particular, he highlighted the overall positive financial performance of the University for 2010-11, with an operating surplus of £4.1M, an increase of £1.3M on 2009-10. There were some significant challenges ahead for the University, especially with regard to SHLs which had posted a deficit of

£1.6M. The SHLs budget for 2011-12, as approved by the Collegiate Council at its July 2011 meeting, however, indicated a reduced deficit of £755k.

**APPROVED**

- 1011 The University's Annual Report for the year ended 31 July 2011 (Appendix CC245A).

**University of London Honorary Degrees**

- 1012 The Collegiate Council received a report by the Vice-Chancellor which contained revisions to procedures relating to the awards conferred at the Foundation Day Ceremony, previously agreed in principle at the Collegiate Council meeting in July 2011 (CC246).

**AGREED**

- 1013 The revised procedures relating to the awards conferred at the Foundation Day Ceremony as set out in Document CC246.

**Award of Degrees and Diplomas**

- 1014 The Collegiate Council noted a report by the Head of the Academic Office on the award of degrees and diplomas (CC247).

**Dates of Meetings**

- 1015 The Collegiate Council noted the following dates of meetings (all to start at 8.30am):

2011-12  
Friday, 9 December 2011  
Friday, 17 February 2012  
Friday, 4 May 2012  
Friday, 6 July 2012

**Any Other Business**

*Arts and Humanities Research Council (AHRC) – Block Grant Partnerships Round 2*

- 1016 The Collegiate Council was informed that a consortium bid by two Colleges and SAS had been developed for submission to Round 2 of the AHRC Block Grant Partnerships scheme. It was noted that the bid would be competing against bids which included other Colleges of the University. A member of the Collegiate Council enquired as to how the SAS governance arrangements determined which bid should be supported in the event of competing bids. It was suggested that, as a national resource, SAS should be in a position to support several competing funding bids.

1017 The Dean of SAS informed the Collegiate Council that one of the key performance indicators (KPIs) attached to the Special Funding received from HEFCE related to the level of additional funding which the School could attract. Likewise, SAS had an obligation to attract studentships and critical researchers at the cutting edge in pursuit of its national mission of research promotion and facilitation. In both regards, the School competed regularly against higher education institutions within the University of London and throughout the UK; the scale of the materiality in relation to the AHRC scheme had required a particularly proactive approach. However, as a national facility, the School's resources were equally available to all UK higher education institutions. This had happened during the last bidding round for AHRC Block Grant Partnerships and, more recently, in various bids to the Economic and Social Research Council doctoral training centre competition. In addition, the bid to the AHRC scheme did not imply any special privileges for the two Colleges regarding access to the School's resources. The Dean added that he would welcome protocols for funding bids involving SAS in order to clarify, in particular, the extent of the School's autonomy and which body within the University's governance structure would consider bids beyond that designated authority.

**AGREED**

- 1018 (i) That the Collegiate Council would consider draft protocols for funding bids involving SAS at its next meeting.
- (ii) That a statement would be prepared in relation to AHRC Block Grant Partnership bids which stated that the School's involvement in one consortium did not imply any special privileges in terms of access to its resources.